

U.S. Fish & Wildlife Service



Credit: Bryan Skerry



UNITED STATES & CITES

The U.S. Fish and Wildlife Service has the jurisdiction for implementation of CITES.

- Management Authority
 - Branch of Permits
 - Wildlife Trade and Conservation Branch
- Scientific Authority
- Law Enforcement



Presentation Overview

- Background information
 - U.S. Federal Shark Management
 - CITES Permits
- Wildlife Inspection Process
 - Import/Export License
 - Designated Ports
 - Non-Designated Ports
 - Prior Notification Requirements for Wildlife Products



U.S. Federal Management for Sharks (NOAA Fisheries)

- Fishery management plans and regulations
 - Permits required for U.S. fishermen and dealers
 - Reporting requirements
 - Commercial quotas, recreational retention limits
 - Prohibited species
 - Requirement to land sharks with fins naturally attached
 - Importers, exporters, re-exporters of shark fins must have permit
- Monitoring of imports to ensure compliance
- Certain shark dealers must attend shark identification workshops





CITES

Sharks and Rays



CITES Permit Process for Sharks



Two part process in order to issue a CITES permit.

Division of Management Authority (DMA)

- Legal Acquisition Finding
 - wildlife was legally acquired (50 CFR § 23.60)

Division of Scientific Authority (DSA)

- Non-Detriment Finding
 - The proposed activity would not be detrimental to the survival of the species (50 CFR § 23.61)



Legal Acquisition

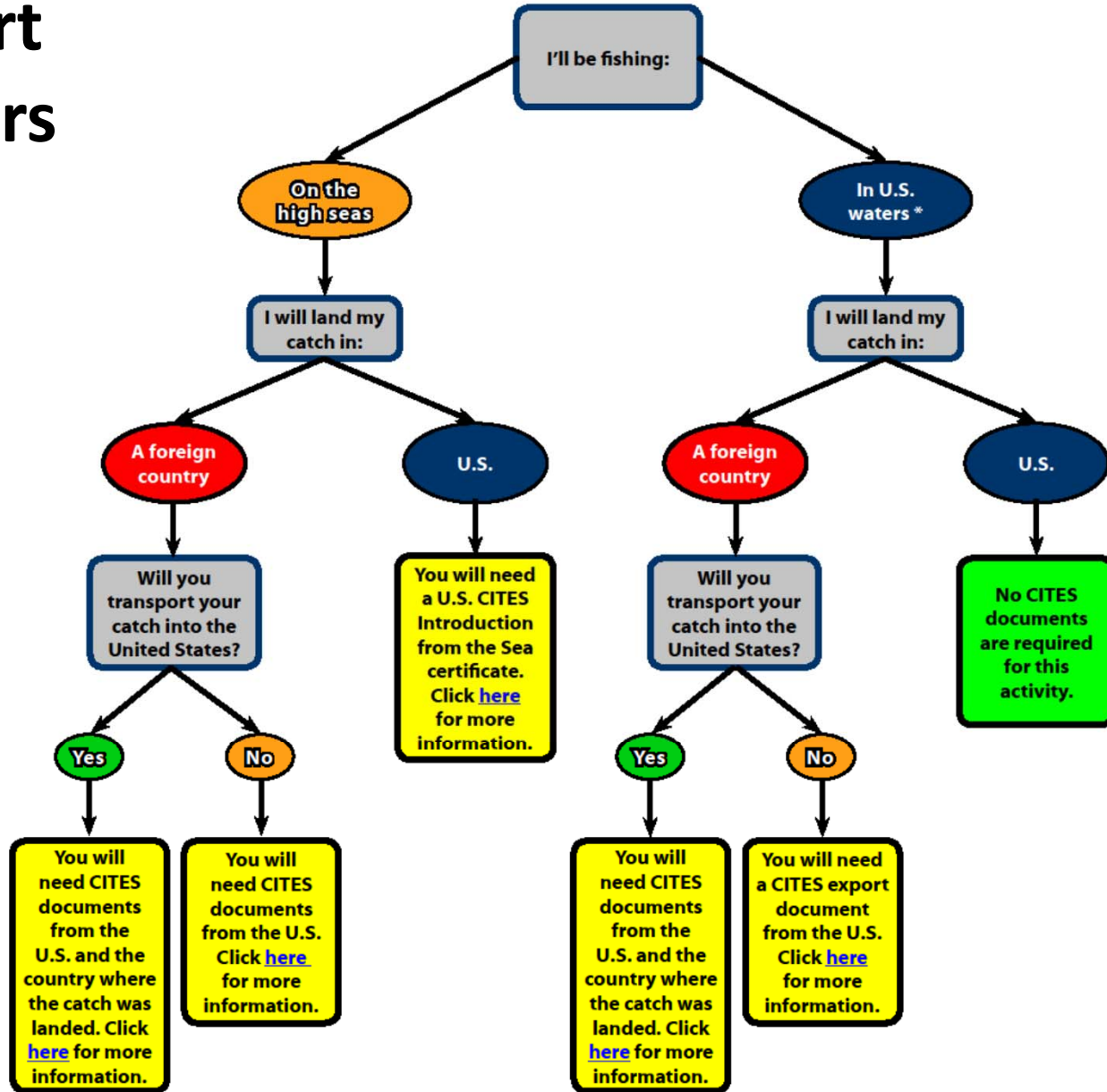
- Documentation demonstrating an unbroken chain of custody, or sequential ownership, from the fisher, through all intermediaries, to the exporter.

Fisher → Company A → Company B → the U.S. exporter

- This might be accomplished by providing copies of :
 - Valid state fishing licenses
 - Required permits
 - Catch records

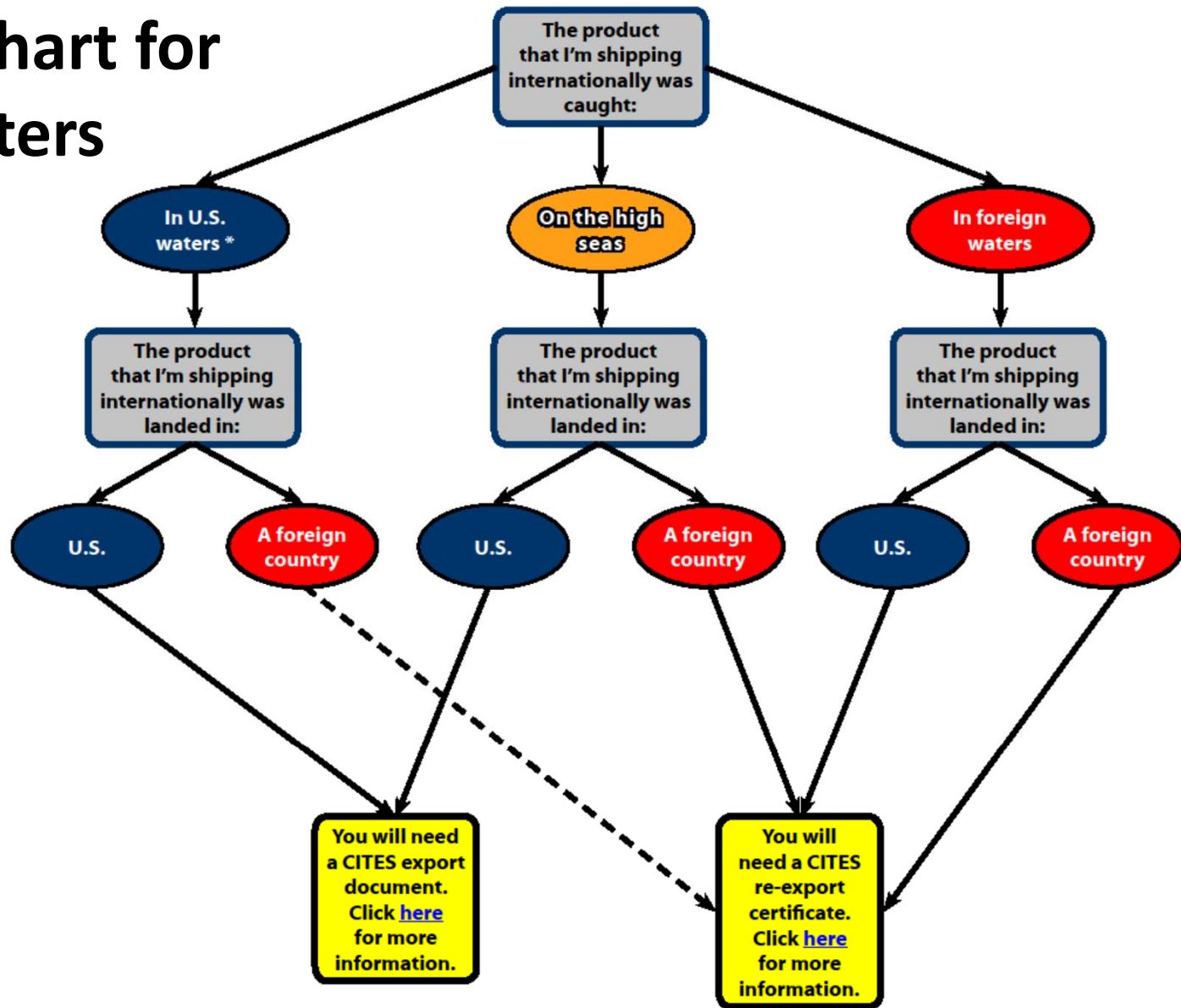


Flowchart for Fishers



<http://www.fws.gov/international/permits/by-species/sharks-and-rays.html>

Flowchart for Exporters



<http://www.fws.gov/international/permits/by-species/sharks-and-rays.html>

U.S. Fish & Wildlife Service
International Affairs



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Permits by Species

- [African Antelope](#)
- [Alligators & Crocodilians](#)
- [American Fishery](#)
- [Freshwater Turtles](#)
- [Furbearers](#)
- [Marine Mammals](#)
- [Sharks & Rays](#)
- [Sturgeon & Paddlefish](#)
- [Wood & Other Tree Products](#)

Download [Links](#) to view PDF files.

If you are fishing on the high seas and catch CITES species, you will need to obtain the following authorizations to land your catch in a foreign country and transport that catch into the United States.

1. Complete and submit application form [2-200-31](#) to the U.S. Fish and Wildlife Service. Click [here](#) for additional guidance on how to complete this form.
2. Obtain a CITES re-export certificate from the country where the catch was landed. Contact the [CITES authority in that country](#) for more information. For catch landed in Canada, please visit [Canada's Catch Certification Program](#) for more information.
3. Apply for and obtain an [import/export license](#) from the U.S. Fish & Wildlife Service Office of Law Enforcement.
4. Enter the United States through a [port designated for wildlife](#). In some instances, you may apply for a [designated port application permit](#) to import or export through the port of your choice.

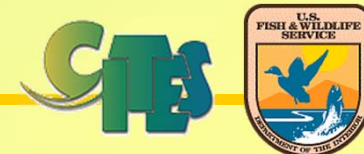
In addition to the above requirements, make sure that you have all of the required NOAA permits before you go fishing or engage in trade (import, export, and re-export) of highly migratory species, including sharks and their fins.



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International Affairs | U.S. Fish & Wildlife Service | 5275 Leesburg Pike | Falls Church, VA 22041 | www.fws.gov/international

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US FISH AND WILDLIFE SERVICE OFFICE OF LAW ENFORCEMENT WILDLIFE INSPECTION OVERVIEW



Credit: Bryan Skerry





Import/Export License

- The import/export license is required for all individuals or companies that engage in commercial trade as an importer or exporter of wildlife. This license, which is valid for one year, must be acquired before importing or exporting.
- The licensee must keep records and allow inspection of records and wildlife inventory.
- The license must be renewed each year as long as the commercial trade activity continues.



Designated Ports

All CITES listed wildlife must be imported or exported through one of the following designated ports:

Anchorage, Alaska
Baltimore, Maryland
Chicago, Illinois
Honolulu, Hawaii
Los Angeles, California
Memphis, Tennessee
New Orleans, Louisiana
Newark, New Jersey
San Francisco, California

Atlanta, Georgia
Boston, Massachusetts
Dallas/Ft. Worth, Texas
Houston, Texas
Louisville, Kentucky
Miami, Florida
New York, New York
Portland, Oregon
Seattle, Washington



Non-designated Ports

Canadian Border Ports

- Unless specific authorization is given, Canadian border ports may only be used for the import or export of wildlife that does not require a permit under certain wildlife laws, and whose country of origin is Canada or the United States.

<http://www.fws.gov/le/canadian-border-ports.html>



Non-designated Ports

Mexican Border Ports

- Unless specific authorization is given, Mexican border ports may only be used for the import or export of wildlife that does not require a permit under certain wildlife laws and whose country of origin is Mexico or the United States.

<http://www.fws.gov/le/mexican-border-ports.html>

Designated Port Exception Permit (DPEP)

- An exemption to the required use of certain ports for wildlife import or export can be granted by this permit.
- The DPEP, which is valid for no more than two years, must be acquired before importing or exporting.
- A DPEP may be issued for single or multiple transactions and may be granted for scientific purposes, to minimize deterioration or loss, or to alleviate undue economic hardship.
- The final criterion that must be met for issuance of a DPEP is a determination that FWS staff is available.



Prior Notification Process

- The trade/importer must notify FWS 48 hours in advance of the import of a live or perishable shipment subject to FWS requirements.
- The trade/exporter must notify FWS and make the shipment available 48 hours in advance of the export of any wildlife, whether perishable or not.
- The trade/importer/exporter must also notify FWS a minimum of 48 hours in advance of the import or export of any commodity subject to FWS requirements when using a DPEP. This latter notification may require additional prior notification depending upon the port, species, and special conditions listed on the DPEP.



**U.S. FISH AND WILDLIFE SERVICE
 DECLARATION FOR IMPORTATION
 OR EXPORTATION OF
 FISH OR WILDLIFE**

1. Date of Import/Export: (mm/dd/yyyy)

2. Import/Export License Number:

3. Indicate One: Import Export

4. Port of Clearance:

5. Purpose Code: _____

6. Customs Document Number (s)

7. Name of Carrier:

8. Air Waybill or Bill of Lading Number:
 Master: _____
 House: _____

9. Transportation Code: _____
 License # _____ State or Province _____

10. Bonded Location for Inspection:

11. Number of Cartons Containing Wildlife:

12. Markings on Cartons Containing Wildlife:

13a. (Indicate One) (Complete name/U.S. address/telephone number/e-mail address)
 U.S. Importer
 U.S. Exporter
 Type Address Here

14a. (Indicate One) (Complete name/foreign address/telephone number/e-mail address)
 Foreign Importer
 Foreign Exporter
 Type Address Here

14b. Country Code: _____

14c. Identifier Number: _____ ID Type: _____

15a. Customs Broker, Shipping Agent or Freight Forwarder:
 (Complete business name/address/telephone and fax number/e-mail address)
 Type Address Here

15b. Identifier Number: _____ ID Type: _____

15c. Contact Name: _____

Species Code (Official Use Only)	16a. Scientific Name	17a. Foreign CITES Permit Number	18a. Description Code	19a. Quantity/Unit	20. Country of Species Origin Code (ISO Code)	21. Venomous Live Wildlife Indicator <input type="checkbox"/> (Check if yes)
	16b. Common Name	17b. U.S. CITES Permit Number	18b. Source Code	19b. Total Monetary Value		
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>

Knowingly making a false statement in a Declaration for Importation or Exportation of Fish or Wildlife may subject the declarant to the penalty provided by 18 U.S.C. 1001 and 16 U.S.C. 3372(d)

22. I certify under penalty of perjury that the information furnished is true and correct:


For Official Use Only
 Action/Comments:

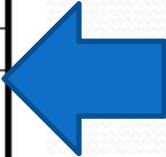
Wildlife Declared: Yes No

Wildlife Inspected:
 None / Partial / Full

Signature: _____ Date: _____

Type or Print Name: _____

FORM S-201A (1987)  CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA		<input type="checkbox"/> EXPORT PERMIT <input checked="" type="checkbox"/> RE-EXPORT CERTIFICATE <input type="checkbox"/> OTHER CERTIFICATE (see block 9)		Page 1 of 1 1. Original Permit/Certificate No. 14US12345A/9 2. Valid until 02/26/2015						
3. Permittee (name and address, country) SHARKNADO INC 1234 CORPORATION LANE ARLINGTON, VIRGINIA U.S.A.		4. Consignee (name and address, country) ACME DENTAL 777 FRONT ROW AVENUE UNITED KINGDOM								
5. Special Conditions MUST COMPLY WITH ENCLOSED GENERAL PERMIT CONDITIONS.		5a. Purpose of Transaction T								
6. U.S. Management Authority U.S. FISH AND WILDLIFE SERVICE DIVISION OF MANAGEMENT AUTHORITY BRANCH OF PERMITS, MS: IA 5275 LEESBURG PIKE FALLS CHURCH VA 22041-3803 08/27/2014 Issuing Date United States Management Authority AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)		For live animals, only valid if the transport conditions comply with the CITES Guidelines for Transport of Live Animals or, in the case of air transport, with IATA Live Animals Regulations.								
7/8. Common Name and Scientific name (genus and species) of Animal or Plant		9. Description of Part or Derivative, including identifying marks or numbers (age/sex if live)		10. Appendix No. and Source						
A. Common Name GREAT WHITE SHARK Scientific Name CARCHARHODON CARCHARIAS		9. RE-EXPORT: TEETH		10. 2 W 11. Quantity (including units) b2u NO 11a. Total Exported/Quota						
12. Country of Origin AUSTRALIA	Permit/Certificate No. 2011-AU-123456	Date of Issue 01/25/2011	12b. Breeding Operation No.							
12a. Country of Last Re-export SINGAPORE	Re-export Certificate No. 11SG001234CR	Date of Issue 06/22/2011	12c. Pre-Convention: Date of Acquisition							
B. Common Name GREAT WHITE SHARK Scientific Name CARCHARHODON CARCHARIAS		9. RE-EXPORT: JAWS		10. 2 W 11. Quantity (including units) 3 NO 11a. Total Exported/Quota						
12. Country of Origin AUSTRALIA	Permit/Certificate No. 2011-AU-123456	Date of Issue 01/25/2011	12b. Breeding Operation No.							
12a. Country of Last Re-export SINGAPORE	Re-export Certificate No. 11SG001234CR	Date of Issue 06/22/2011	12c. Pre-Convention: Date of Acquisition							
13. Export / Re-export Endorsement: The official who inspects shipment upon exportation / re-exportation must enter the total quantities of specimens being exported / re-exported in this block.		14. Bill of Lading / Air Way-Bill Number Port of Exportation / Re-exportation Total No. of Shipping Containers		15. This document valid only with inspecting official's ORIGINAL stamp, signature and date in this block.						
<table border="1"> <thead> <tr> <th>See Block 7</th> <th>Quantity</th> </tr> </thead> <tbody> <tr> <td>A</td> <td></td> </tr> <tr> <td>B</td> <td></td> </tr> </tbody> </table>		See Block 7	Quantity	A		B		Inspecting Official's Stamp, Signature and Date		
See Block 7	Quantity									
A										
B										



Contact Information for U.S. CITES

Authorities:

- Management Authority
- Telephone: +1-703-358-2104
- Fax: +1-703-358-2280
- Email: managementauthority@fws.gov

- Scientific Authority
- Telephone: +1-703-358-1708
- Fax: +1-703-358-2276
- Email: scientificauthority@fws.gov

Contact Information for U.S. CITES Authorities:

- Office of Law Enforcement
- Telephone: +1-703-358-1949
- Fax: +1-703-358-1947
- Email: lawenforcement@fws.gov